SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Saim Said			2. Date of Event Requiring Statement (Month/Day/Year) 05/06/2015		3. Issuer Name and Ticker or Trading Symbol <u>COLLEGIUM PHARMACEUTICAL, INC</u> [ COLL ]					
(Last) 780 DEDHA	(First) M STREET,	(Middle) SUITE 800			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify		r (Moi	<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check</li> </ul>		
-					A below)	below)	Арр	licable Line)	1 0 (	
(Street) CANTON	MA	02021			VP, Pharmaceutica	ıl Devlp.		X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
			Table I - No	n-Derivat	ive Securities Beneficial	ly Owned	· · · · · ·			
1. Title of Security (Instr. 4)				. Amount of Securities Seneficially Owned (Instr. 4)			I. Nature of Indirect Beneficial Ownership Instr. 5)			
		(6			e Securities Beneficially nts, options, convertible		s)			
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable an Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	e Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Option	(Right to Pur	chase)	(1)	10/24/2018	Common Stock	1,811	0.9	D		
Stock Option (Right to Purchase)			(2)	10/20/2019	Common Stock	1,086	0.9	D		
Stock Option (Right to Purchase)			(3)	02/08/2021	Common Stock	1,086	3.31	D		
Stock Option (Right to Purchase)										
	(Right to Pur	· ·	(4)	05/10/2022	Common Stock	5,434	0.48	D		
	(Right to Pur (Right to Pur	chase)	(4)	05/10/2022		5,434 1,811	0.48 0.48	D D		
Stock Option	<u> </u>	chase)			Common Stock	-				
Stock Option Stock Option	(Right to Pur	chase) chase)	(5)	01/30/2023	Common Stock Common Stock	1,811	0.48	D		

## Explanation of Responses:

1. All 1,811 options are exercisable.

2. All 1,086 options are exercisable.

3. All 1,086 options are exercisable.

4. 4,189 options are exercisable. The options vest and become exercisable over a 4-year period commencing on April 1, 2012. Twenty-five percent (25%) of the options vested and became exercisable on April 1, 2013 and then in equal monthly installments (rounded up to the nearest whole option) at the end of each monthly period over the remaining three years of the 4-year period.

5. 1,056 options are exercisable. The options vest and become exercisable in equal installments (rounded up to the nearest whole option) at the end of each month over a 4-year period commencing on January 24, 2013.

6. 1,662 options are exercisable. The options vest and become exercisable in equal installments (rounded up to the nearest whole option) on a monthly basis over a 4-year period commencing on March 5, 2014. The options vest and become exercisable over a 4-year period commencing on March 5, 2014. Twenty-five percent (25%) of the options vested and became exercisable on March 5, 2014.

5, 2015 and then in equal monthly installments (rounded up to the nearest whole option) at the end of each monthly period over the remaining three years of the 4-year period.
 8. 156 options are exercisable. The options vest and become exercisable in equal installments (rounded up to the nearest whole option) on a monthly basis over a 4-year period commencing on March 19, 2015.

**Remarks:** 

/s/ Paul Brannelly as Attorney-05/06/2015

In-Fact For Said Saim

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints each of Paul Brannelly and Michael T. Heffernan, with full power to act singly, as the undersigned's true and lawful attorney-in-fact with full power of substitution to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director and/or beneficial owner of Collegium Pharmaceutical Inc. (the "Company"), Form ID Application, Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended and the rules thereunder;

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form ID Application, Forms 3, 4 or 5, complete and execute any amendment or amendments thereto and timely file such forms with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to the attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.

This power of attorney shall remain in full force and effect until revoked by the undersigned in a signed writing delivered to the attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of the 24th day of April, 2015.

/s/Said Saim, Ph.D.

Name: Said Saim, Ph.D.

Title: VP - Pharmaceutical Development

Development